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Attorney Docket No.: PATENT  
SONY-15200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No.: 09/971,998

Filed: October 4, 2001

For: **A METHOD OF AND APPARATUS  
FOR CANCELLING A PENDING  
AV/C NOTIFY COMMAND**

Group Art Unit: 2181

Examiner:

**TRANSMITTAL LETTER**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

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APR 15 2003

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2100**

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

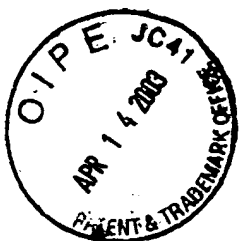
**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

**HAVERSTOCK & OWENS LLP.**

Date: 4/10/03 By: Sharon M. Connelley



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Attorney Docket No.: SONY-15200

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In re Application of:	)	Group Art Unit: 2181
Jon Ebbe Brelin	)	Examiner:
Serial No.: 09/971,998	)	<b>CERTIFICATION FOR</b>
Filed: October 4, 2001	)	<b>SUPPLEMENTAL INFORMATION</b>
For: <b>A METHOD OF AND APPARATUS</b>	)	<b>DISCLOSURE STATEMENT UNDER 37</b>
<b>FOR CANCELLING A PENDING</b>	)	<b>CFR § 1.97(e)</b>
<b>AV/C NOTIFY COMMAND</b>	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure  
Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on March 18, 2003. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

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**Technology Center 2100**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

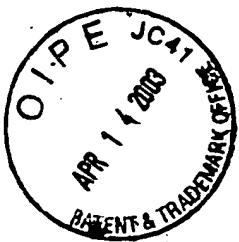
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**HAVERSTOCK & OWENS LLP.**

Date: 4/10/03 By: Sharon M. Connelley



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) **DISCLOSURE STATEMENT**

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Washington, D.C. 20231

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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,555,413;
- U.S. Patent No. 5,724,646;
- U.S. Patent No. 6,370,688 B1;
- U.S. Patent No. 6,438,110 B1;
- U.S. Patent No. 6,513,064 B1; and
- U.S. Patent No. 6,516,416 B2.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP

Date: 4/10/03 By: Sharon McCormick